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		Application Number		10/762,494					
TRANSMITTAL FORM		Filing Date		January 22, 2004					
(to be the distributed and the contemporary (to be the distributed and the contemporary)		First Named Inventor		Kevin J. Cann et al.					
		Group Art Unit		1711					
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Total Number of Page	n This Submission	4	Attorr	ney Docket Number	2003U002	.US			
MALIAN		+ references	I_ NCLOS	SURES (check all that	apply)				
☐ Preliminar	mittal Form / Amendment / Res	ponse		Assignment Papers (for an Application) Drawing(s)			After Allowance Communication to Group Appeal Communication to		
_	fter Final ffidavits/declaration	(s)		Licensing-related Pap	ers		Board of Appeals and Interferences		
Extension Form-1449	of Time Request			Petition Routing Slip (and Accompanying Po			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
	n Disclosure Statem			To Convert a Provisio Application	nal		Proprietary Information		
⊠ 1449 l ⊠ Copie	Form s of Cited Reference			Power of Attorney, Re Change of Correspon			Status Letter		
	opy of Priority		Address		×	Additional Enclosure(s) (please identify below):			
	Documents)		Terminal Disclaimer Two (2)			<u>Postcard</u>			
	to Missing Part/ Application	1	Notice to File Corrected Application Papers						
☐ Respo	nse to Missing Part	s	☐ Substitute Specification						
			REMARKS						
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Firm			NE OI /		Registration N				
Or Individual name	Osborne K. Mc	Kinney					40,084		
Signature	Ul	L.,	A -	1	>				
Date	6-	07-	/	<i>f</i>					
<u> </u>			CEI	RTIFICATE OF MAILIN	G	-			
I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:									
	June 7, 2004								
Typed or printed na	me Tammy L. H	odges) , ,						
Signature	Dann	St	i-Ho	des	Date	4/0-	1/2004		
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d) Attny. Docket No. 2003U002.US								
In Re Application of: Kevin J. Cann et al.								
U.S. Serial No. Filing Date Examiner Group Art Unit 10/762,494 January 22, 2004 Unassigned 1711								



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Address to:

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

37 CFR § 1.97(b)

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

- 1. a Final Action under § 1.113,
- 2. a Notice of Allowance under § 1.311, or
- 3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

the fee as set forth in § 1.17(p), or
the following statement under § 1.97(e)(1): each item of information contained in the
information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the submitted herewith.	Information Disclosure Statement, USPTO form 1449,
□ Legible copies of the non-US references are	enclosed.
☐ This application is a: ☐ Continuation / Divisional, ☐ Continuation-in-Part,	
of U.S.S.N. enclosed; not enclosed. References are av	. Copies of the cited references are vailable in the parent application(s) if not enclosed.
The Commissioner is hereby authorized to char paper, or credit any overpayment, to <u>Deposit Acc</u>	ge any additional fees which may be required by this count Number 50-0589.
6-07-04 Date	Osborne K. McKinney Registration No. 40,084 Univation Technologies, L.L.C. 5555 San Felipe, Suite 1950 Houston, Texas 77056-2723 (713) 892-3711 Voice (713) 892-3687 Facsimile

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INFORMATION DISCLOSURE
STATEMENT

ATTY. DOCKET NO. SERIAL NO.
2003U002.US 10/762,494

APPLICANT

Kevin J. Cann, et al.

(Use several sheets if necessary)

FILING DATE GROUP

January 22, 2004 1711

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2002/0042428	04/11/2002	Myers et al.	514	305	
	AB	3,324,095	06/06/1967	Carrick et al.	260	88.2	
	AC	3,324,101	06/06/1967	Baker et al.	260	94.9	
	AD	3,629,216	12/21/1971	Iwasaki et al.	260	88.2	
	AE	3,759,918	09/18/1973	Yamaguchi et al.	260	80.7	
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	AG	4,153,576	05/08/1979	Karol et al.	252	428	
*	AH	4,451,573	05/29/1984	Ikegami et al.	502	113	
	ΑI	4,454,242	06/12/1984	Ikegami et al.	502	113	
	AJ	4,559,394	12/17/1985	Jackson	528	102	
	AK	5,075,395	12/24/1991	Durand et al.	526	105	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	Nomber	55		02.133		YES	NO
AL	JP 2002020412	01/23/2002	Japan			Abstract	
AM	JP 2001294612	10/23/2001	Japan			Abstract	
AN	JP 201198811	07/24/2001	Japan	-		Abstract	
AO	CN 1214344	04/21/1999	China			х	

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

AP

EXAMINER

DATE CONSIDERED

*EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant